



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/686,234	10/11/2000	Chris R. Somerville	S-93,994

Paul A Gottlieb  
United States Department of Energy  
GC-62 (FORSTL) MS-6F-067  
1000 Independence Avenue SW  
Washington, DC 20585-0162

## FORMALITIES LETTER



\*OC000000005571790\*

Date Mailed: 11/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$178.
  - \$18 for 1 total claims over 20.
  - \$160 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1018.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

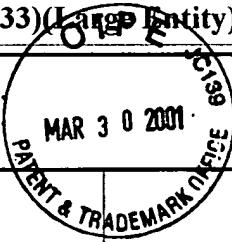
PART 1 - ATTORNEY/APPLICANT COPY

04/02/2001 SSESHE1 00000128 012752 09686234  
01 FC:101 710.00 CH  
02 FC:103 18.00 CH  
03 FC:105 130.00 CH  
04/19/2001 TLJ11 00000010 012752 09686234  
01 FC:102 160.00 CH  
00-11-21

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
S-93,994

In Re Application Of: Chris R. Somerville, et al.



Serial No.  
09/686,234

Filing Date  
10/11/2000

Examiner  
Unknown

Group Art Unit  
1645

Invention: **MODIFIED CELLULOSE SYNTHASE GENE FROM ARABIDOPSIS THALIANA CONFERS  
HERBICIDE RESISTANCE TO PLANTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
11/22/2000  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

04/02/2001 SSESHE1 00000128 012752 09686234  
04 FC:117 890.00 CH

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

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S-93,994

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1645

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$710.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 2 \_\_\_\_\_

☒ Total number of claims = 21 \$18.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$858.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☒ Three months    ☐ Four months    ☐ Five months

from: 1/22/2001 until: 4/22/2001  
Date Date

Total time extension fees \$890.00

Total fees due \$1,748.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
S-93,994

In Re Application Of: Chris R. Somerville, et al.

Serial No.  
09/686,234

Filing Date  
10/11/2000

Examiner  
Unknown

Group Art Unit  
1645

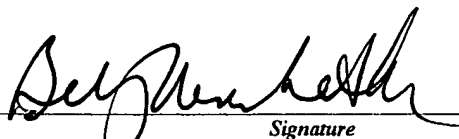
Invention: **MODIFIED CELLULOSE SYNTHASE GENE FROM ARABIDOPSIS THALIANA CONFERS  
HERBICIDE RESISTANCE TO PLANTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,748.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 01-2752  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: March 30, 2001

Betty A. Winchester  
Authorized Signature for Deposit Account 01-2752  
U.S. Department of Energy  
202-586-2810 (FAX 202-586-7127)

I certify that this document and fee is being deposited on  
with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

cc: CHI/CBB